

Ground





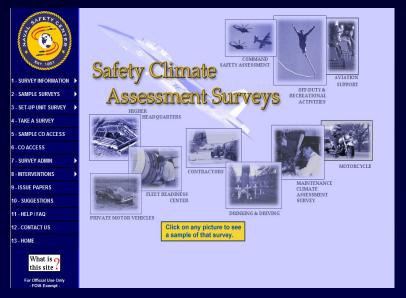


Off-Duty

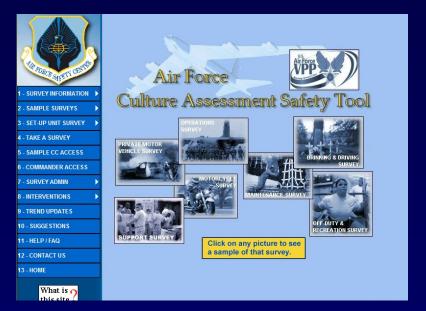
Climate Assessment Surveys

Bob Figlock, Ph.D.

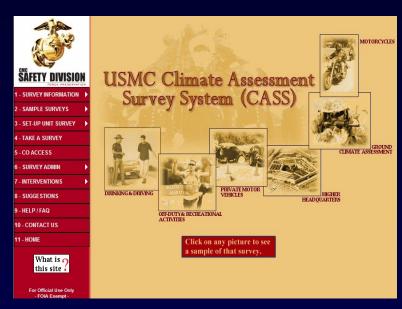
25+ Years Aviation Safety Experience



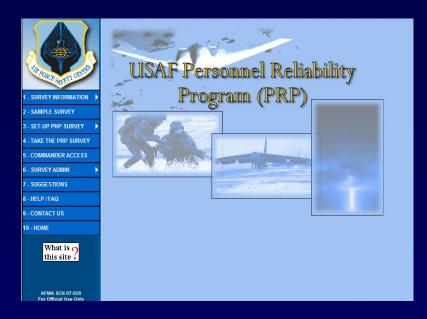
Naval Aviation



Air Force & ANG



Marine Corps



Air Force PRP

Background . . .

Watershed Event

- January 1996

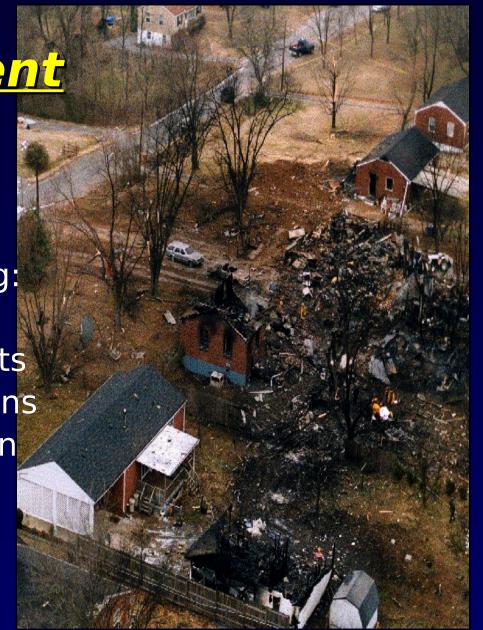
- Nashville, TN

 Navy F-14 crashes into local neighborhood killing:

Two aircrew

Three local residents

 Mishap investigation opens the eyes of Naval Aviation leadership....

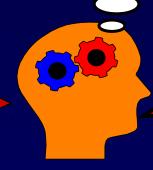


Human Factors Quality Management Board (HFQMB)

Brainstorming

NSC Safety Surveys

NSC Culture Workshops



Recommendations for Intervention

NPS Safety Climate Surveys

High Reliability Organizations

HROs -- <u>Organizations that have less than their</u> "<u>fair share</u>" of <u>failures despite</u>:

- managing complex and demanding technologies
- meeting peak requirements and time pressures
- routinely handling significant risks and hazards
- executing dynamic/intensely interactive tasks

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HRO Risk Mitigation

Ways that HROs mitigate risk:

- Risk decisions made by most qualified
- Use of redundancy and back up systems
- Standardized procedures and best practices
- Appropriate rewards and disciplinary action
- Accurate management vision/risk analysis

(Libuser and Roberts, 1999)

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(Libuser and Roberts, 1999)

HRO Model Components

- 1. Process Auditing -- a system of on-going checks to monitor hazardous conditions
- 2. Reward System -- expected social compensation or disciplinary action to reinforce or correct behavior
- 3. Quality Assurance -- policies and procedures for promoting high-quality performance
- 4. Risk Management -- how the organization perceives risks and takes corrective actions

Current Surveys . . .

Current Surveys

- Flight Crew (CSA)
- Maintenance (MCAS)
- Depot-level Maintenance (FRS)
- Higher Headquarters (нно)
- Support Personnel (ASPA)
- Contractor (CTR)
- Ground Climate (USMC)
- Voluntary Protection Program (USAF)
- Personal Reliability Program (USAF)
- Nuclear Surety (USAE)

- Private Motor Vehicle (PMV)
- Motorcycle (MTRCYCL)
 - Drinking & Driving (D&D)
 - Off Duty & Recreation (OD&R)

Definition:

Measurement of an organization's ability to safely

conduct operations in terms of leadership, culture,

policies, standards, procedures, and practices.

- Individual response anonymity
- Organizational confidentiality
- Restricted access to the results

Let me demonstrate . . .



- 1 SURVEY INFORMATION
- 2 SAMPLE SURVEYS
- 3 SET-UP UNIT SURVEY
- 4 TAKE A SURVEY
- 5 SAMPLE CO ACCESS
- 6 CO ACCESS
- 7 SURVEY ADMIN
- 8 INTERVENTIONS
- 9 ISSUE PAPERS
- 10 SUGGESTIONS
- 11 HELP / FAQ
- 12 CONTACT US
- 13 HOME



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COMMAND SAFETY ASSESSMENT



AVIATION SUPPORT

MOTORCYCLE

Assessment Surveys

OFF-DUTY & RECREATIONAL ACTIVITIES



PRIVATE MOTOR VEHICLES

HIGHER

HEADQUARTERS

Safety Climate



CENTER

FLEET READINESS



MAINTENANCE CLIMATE ASSESSMENT SURVEY



DRINKING & DRIVING

Click on any picture to see a sample of that survey.

SURVEY INSTRUCTIONS: Answer all demographic and survey items. 2. Once all survey items are completed, click on the Submit button at the bottom of the page. A message may appear stating you missed an item either in the demographics or on the survey. You will have to go back and respond to the remaining items before you continue. 3. After successfully completing and submitting your survey, a Thank You note will appear. You have now completed the survey and you can log off the system. Demographic Data PART I. DEMOGRAPHIC INFORMATION The following survey is a SAMPLE. No actual responses will be recorded. For the actual survey, no individual's demographic data will be made available to any CO. PART II. TAKE SURVEY The following survey is a SAMPLE. No actual responses will be recorded. Select from the following: Your designation: Select from the following: My command conducts adequate reviews and updates of safety standards and operating procedures. (PA) Your current model aircraft: Select from the following: O N/A 0 c Don't Stronaly Neutral Agree Stronaly Disagree Your total flight hours: Select from the following: Know Disagree Agree Your total hours in model: Select from the following: My command uses an internal audit and hazard reporting system to catch any problems that may lead Are you currently a department head: Select from the following: to a mishap. (PA) O N/A 0 Your status: Select from the following: c Don't Strongly Disagree Neutral Agree Strongly Know Disagree Agree Your service: Select from the following: • My command has a defined process to set training goals and to review performance. (PA) O N/A Closed-ended Item c Don't Stronaly Disagree Neutral Stronaly Agree Know Disagree Agree **62.** The most hazardous activity I perform is... (200 words max.) My command closely monitors proficiency and currency standards to ensure aircrew are qualified to O No Response O My response is: fly. (PA) O N/A 0 c Don't Stronaly Disagree Neutral Agree Stronaly Know Disagree Agree The most significant action(s) my unit can take to improve safety **Den-ended Items** is/are... (200 words max.) O No Response O My response is:



- 1 SURVEY INFORMATION
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COMMAND SAFETY ASSESSMENT





OFF-DUTY & RECREATIONAL ACTIVITIES

Assessment Surveys

HIGHER

PRIVATE MOTOR VEHICLES

HEADQUARTERS

FLEET READINESS

CENTER

Safety Climate





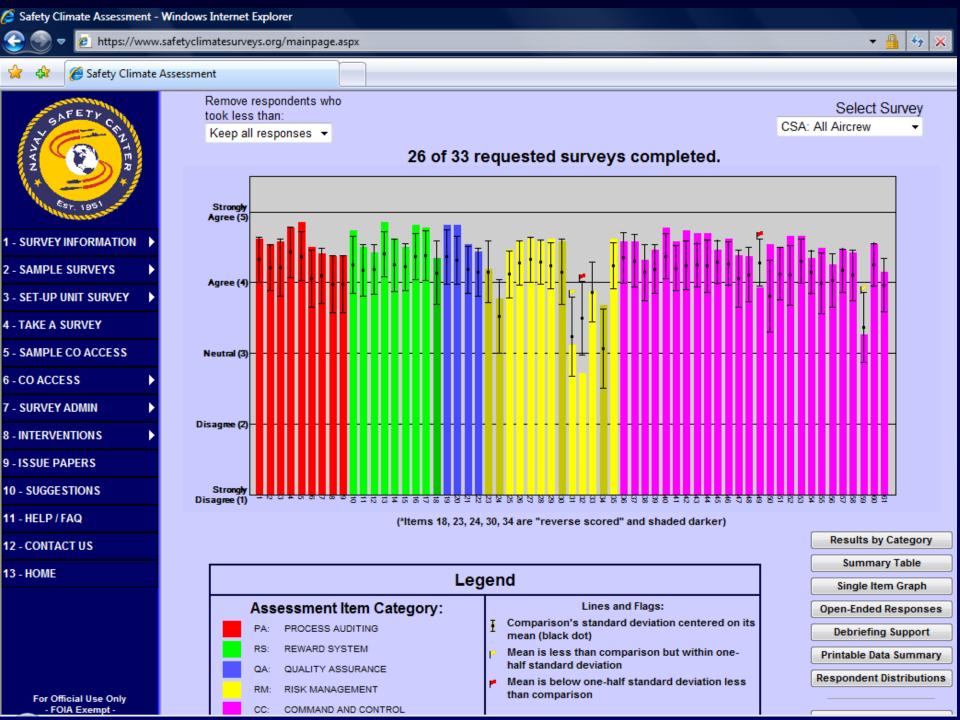
DRINKING & DRIVING

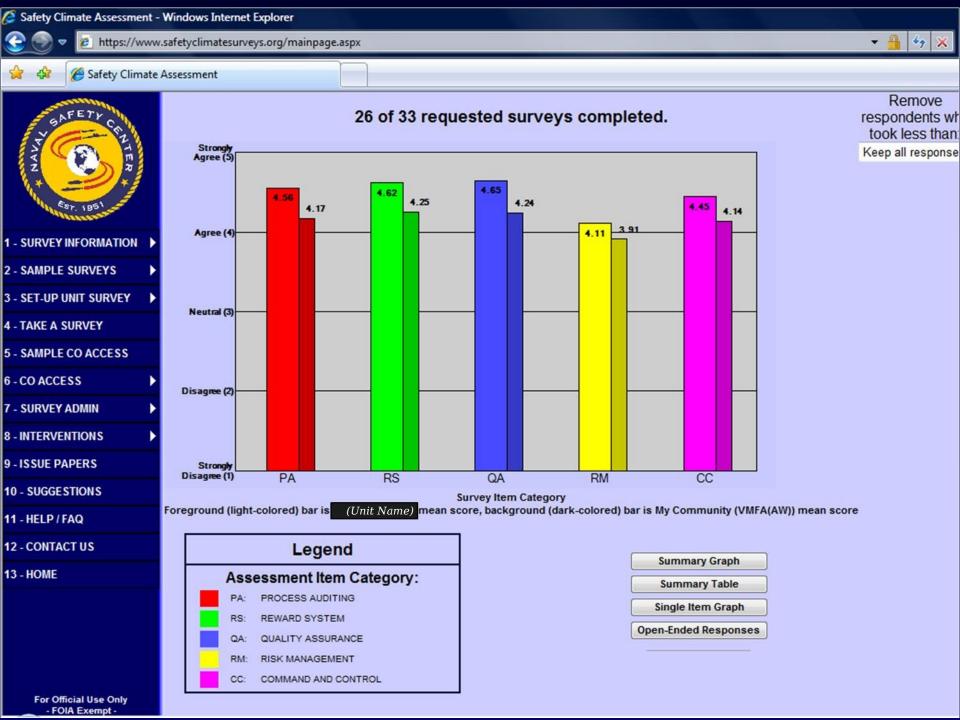


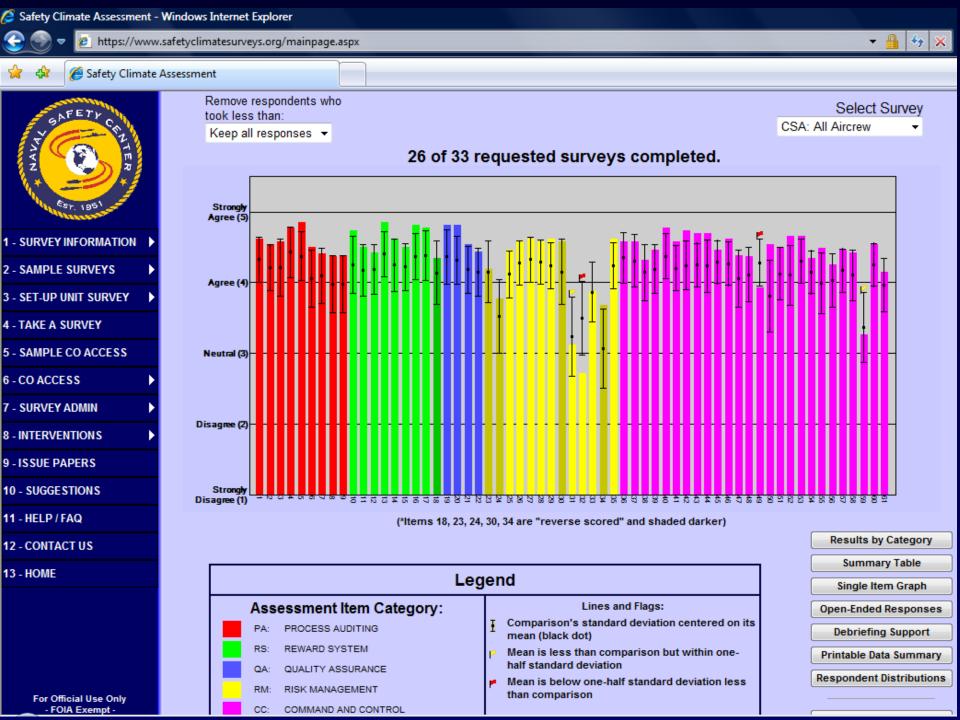
MOTORCYCLE

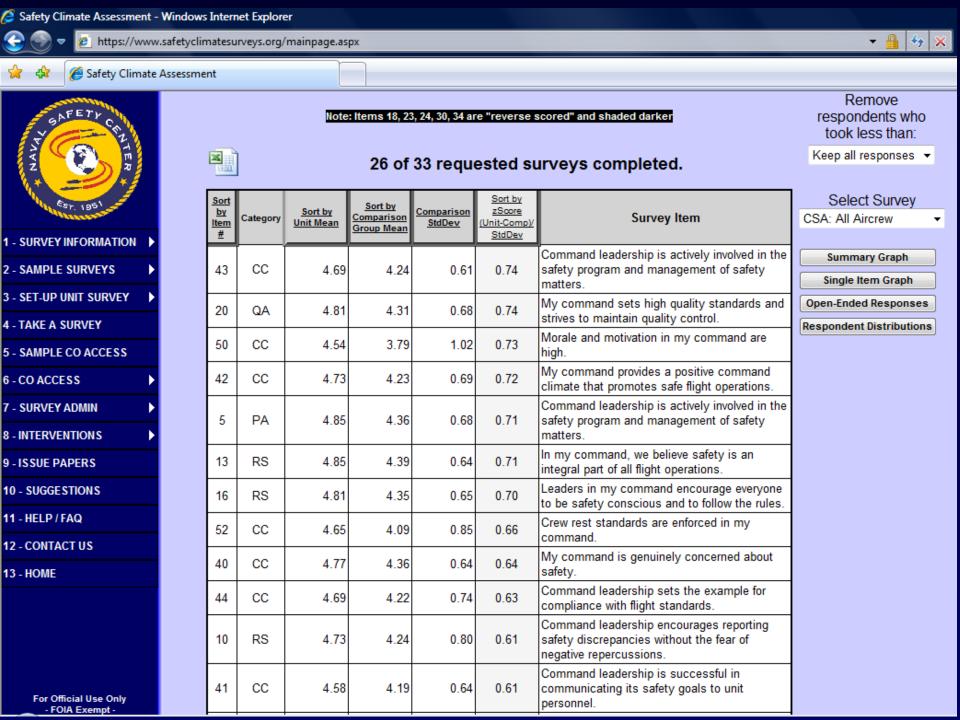
MAINTENANCE CLIMATE ASSESSMENT SURVEY

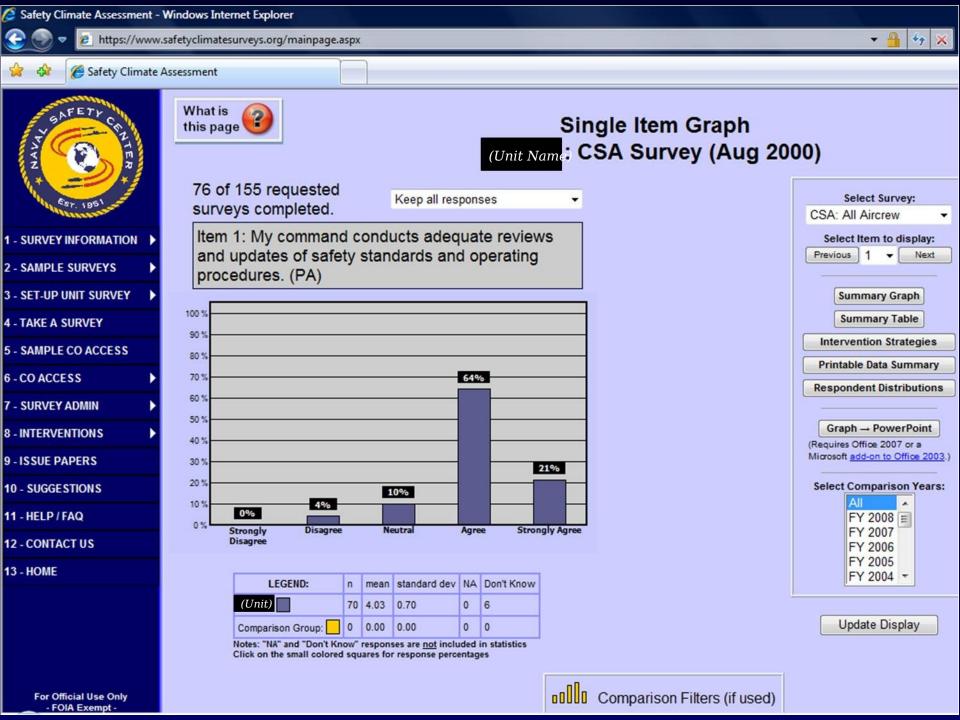
Click on any picture to see a sample of that survey.

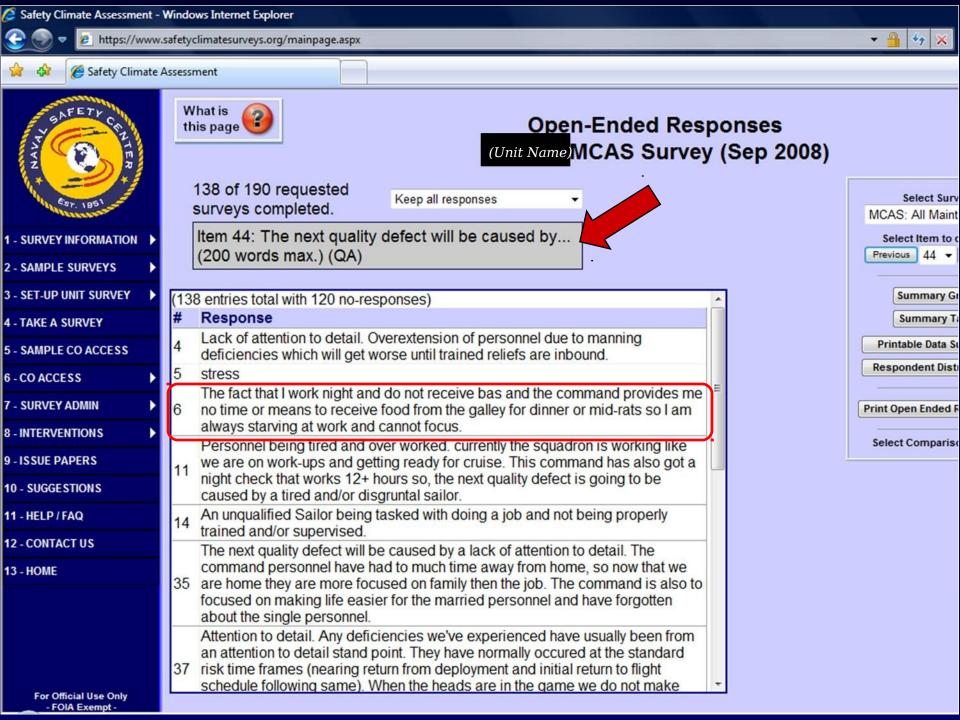


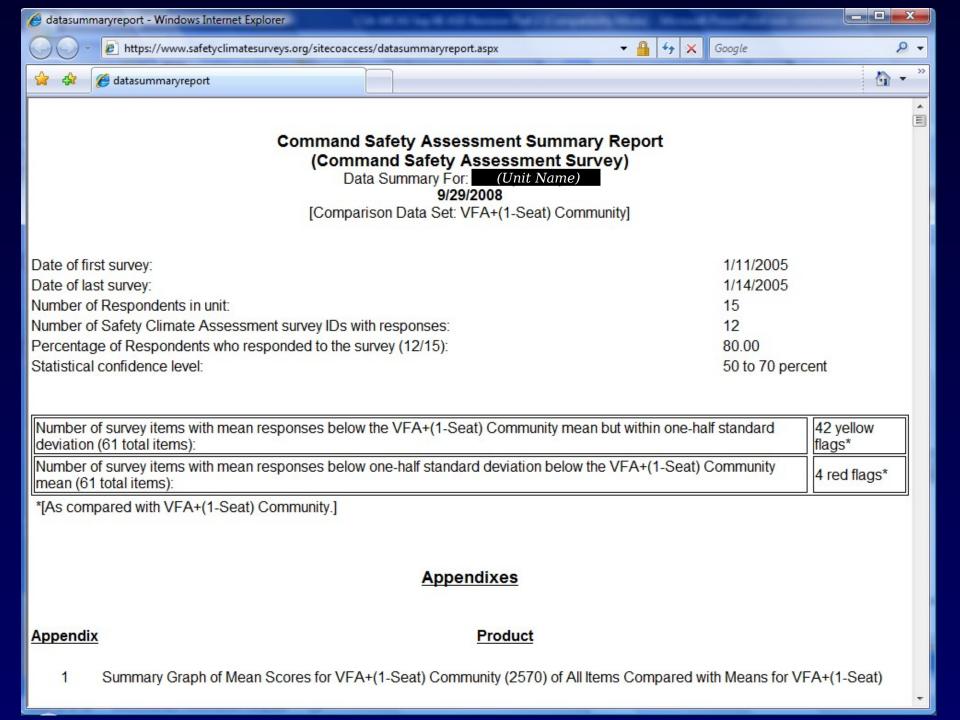


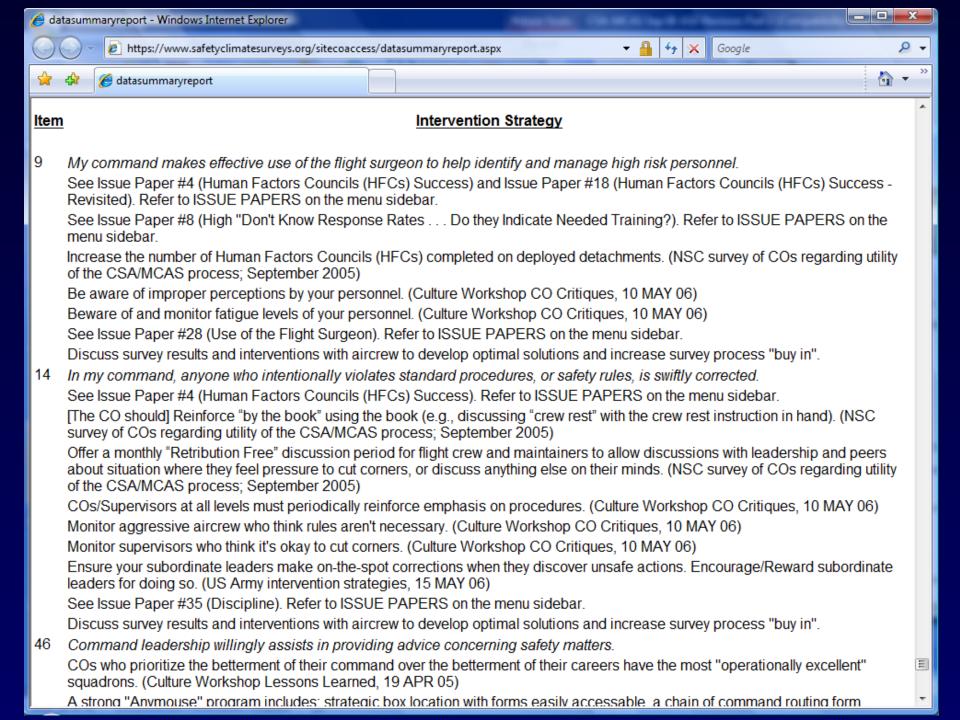










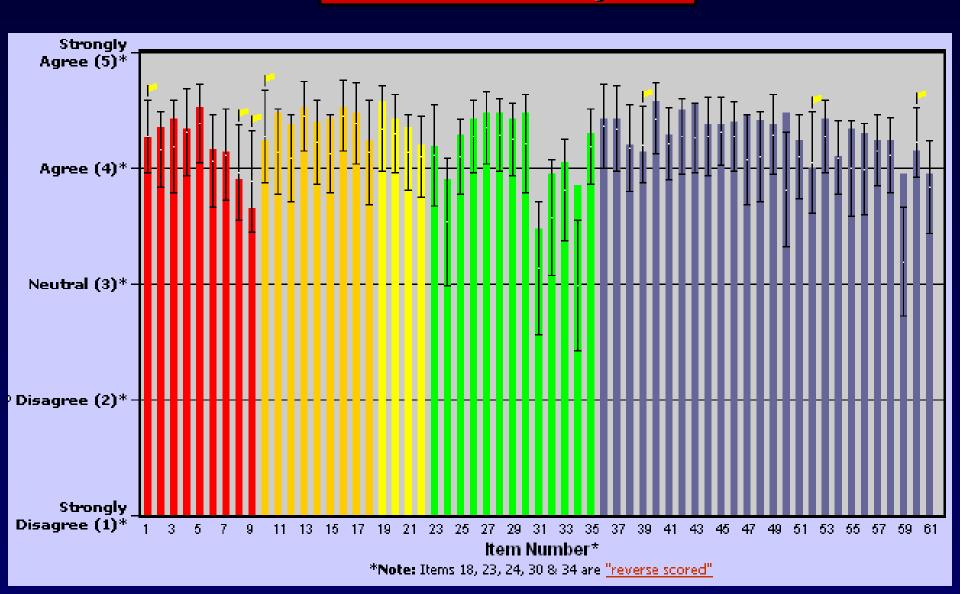


Climate Assessment Survey System (CASS)

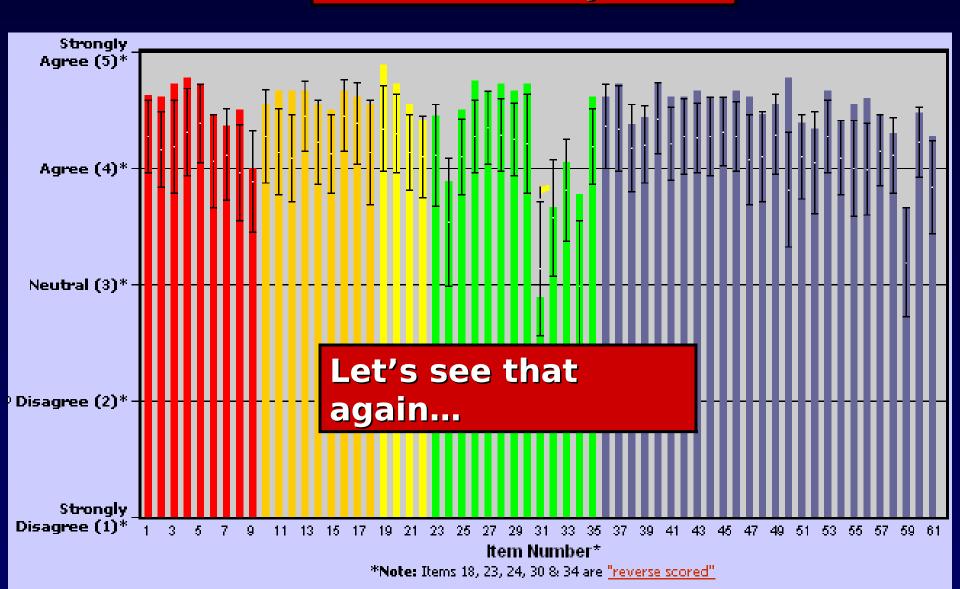
Self comparison...



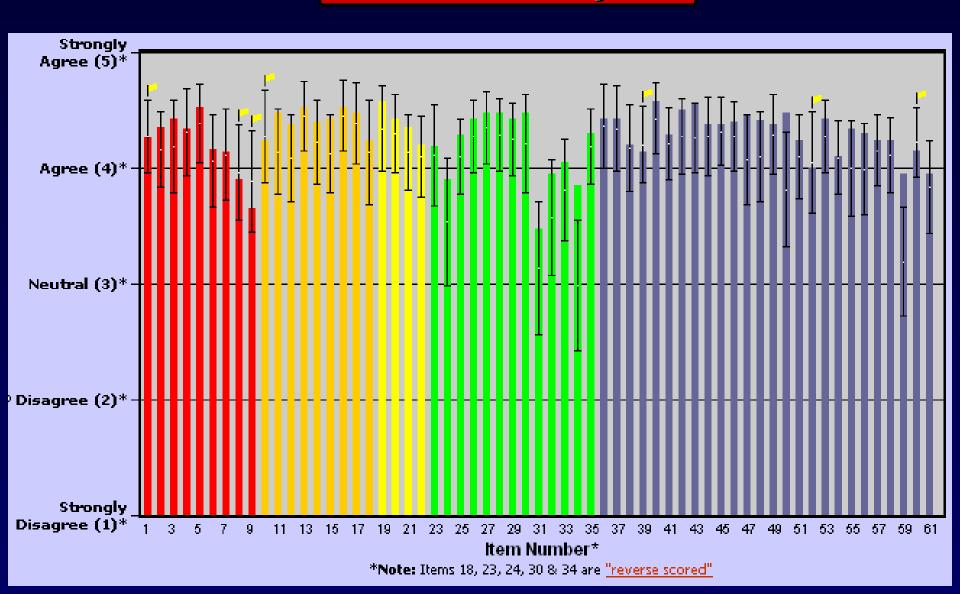
First time squadron took the surveys



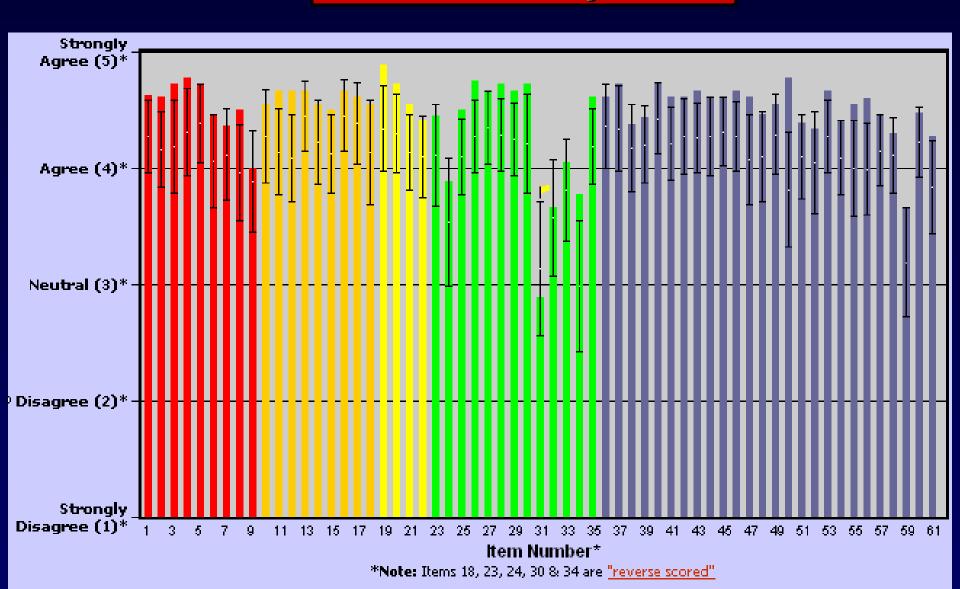
Second time squadron took the surveys

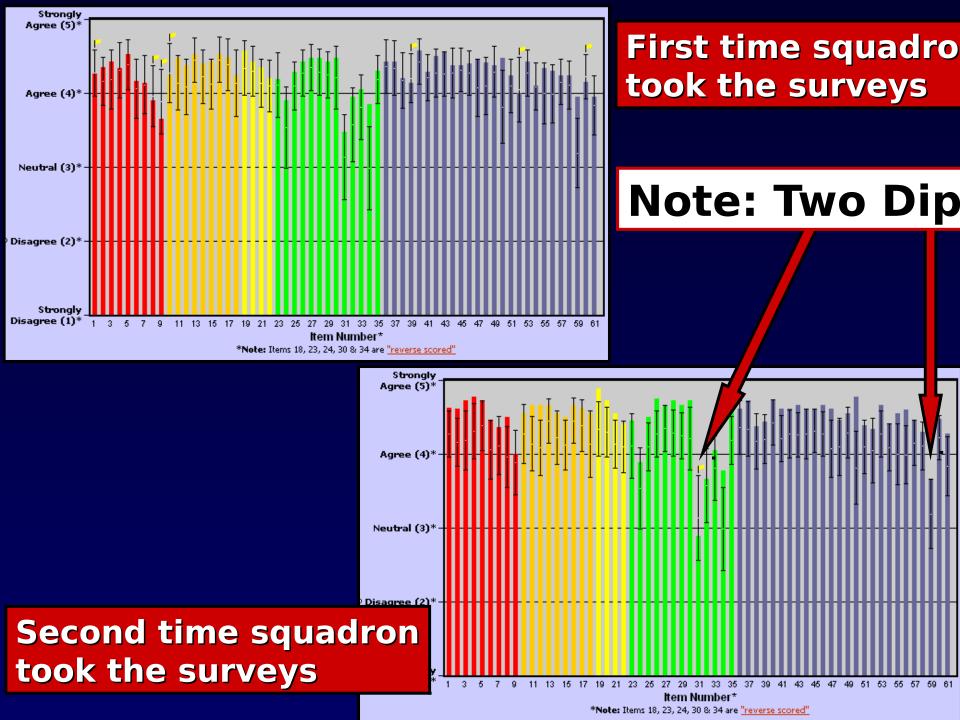


First time squadron took the surveys



Second time squadron took the surveys





Analysis

Naval Aviation Issue Papers

(For official use only - FOIA Exempt)

These issue papers reflect extensive analyses conducted using data collected primarily through Naval Aviation's safety climate assessment surveys.

To access the contents of Issue Papers please contact ASD at 888-603-3170 or email us at surveys@advancedsurveydesign.com. (Note: Commanders with a valid Access ID will find a link to the Issue Papers using the CO ACCESS menu at left.)

Issue Paper #74: Motorcycle Survey Feedback

Issue Paper #73: Command Leadership Correlations for CSA Surveys

Issue Paper #72: Human Factors Councils' (HFCs') Successes -- Revisited

Issue Paper #71: What is my Unit Doing "Right" and Why?

Issue Paper #70: MCAS Survey Item Averages by Work Center

Issue Paper #69: Cell Phone Use While Driving

Issue Paper #68: Tipsy Taxi Program Effectiveness

Issue Paper #67: 101 Critical Days of Summer: Motorcycle Deaths

Issue Paper #66: Reporting Safety Violations

Issue Paper #65: 101 Critical Days of Summer: Drinking and Driving Surv

Naval Aviation Analysis

Issue Paper #64: 101 Critical Days of Summer: PMV Survey Favorable Response Rates

Air Force Culture Assessment Safety Tool Trend Updates

Trend updates reflect extensive analyses conducted using data collected through the AFCAST Operations (OPS), Maintenance (MX), and Support (SUP) Safety Culture Surveys.

To access the contents of Trend Updates please contact Advanced Survey Design (ASD) at 888-603-3170 or email us at surveys@advancedsurveydesign.com. (Note: Commanders with a valid Access ID will find a link to the Trend Updates using the COMMANDER ACCESS menu at left.)

Trend Update #15: What is my Organization Doing Right and Why?

Trend Update #14: Crew Rest Policies

Trend Update #13: Cell Phone Use While Driving

Trend Update #12: Rewards and Incentives

Trend Update #11: D&D Survey Items Favorable Response Rates

Trend Update #10: PMV Survey Items Favorable Response Rates

Trend Update #9: MX-SUP Survey Responses by Rank

Trend Update #8: High Risk OD&R Activities

Trend Update #7: Supervisor Favoritism Regarding DUIs

Trend Update #6: Morale and Camaraderie

Trend Update #5: Influences of Fatigue on Performance

Trend Update #4: Review of Process Auditing FLT Survey Items

Trend Update #3: Effectiveness of Safety Days

Trend Update #2: Safety Office Effectiveness and Respectability

Trend Update #1: Top Five - Bottom Five FLT & MX-SUP Response Averages

Air Force Analysis

Research

Research Method

1. Compared survey data with Naval Aviation

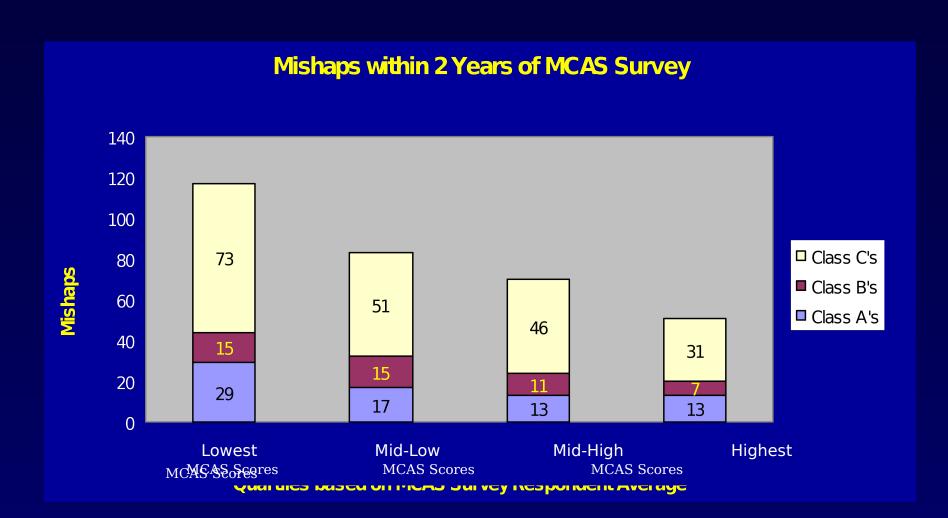
- mishap data.
- 2. Developed "quartile charts."
- 3. Analyzed results.

Used two-year timeframe <u>after</u> survey completion (based upon unit deployment cycle)

MCAS Respondent Average

-VS-

Mishap Frequency

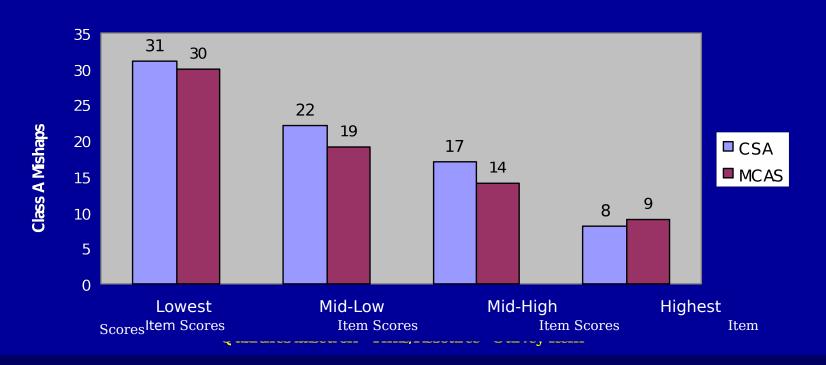


Resource Adequacy -vs- Mishap Frequency

<u>CSA Item #31</u>: I am provided adequate resources (time, staffing, budget, & equipment) to accomplish my job.

MCAS Item #24: I am provided adequate resources, time, personnel to accomplish my job.

Class A's within 2 Years of Safety Climate Survey



Command Feedback



- VIEW FEEDBACK

- SURVEY ADMIN

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- CONTACT US

Commander Feedback Questionnaire

CDR Jones

On 20 November 2000, you were debriefed on VFA-00's CSA & MCAS survey results. To help improve the Safety Climate Assessment survey process, please provide us your feedback regarding the following four items:

For item 1, please click on the appropriate button. Then fill-in answers to the other items, and click Submit.

1.	Survey results helped	О	C	0	0	100000	N/A
	Strongly Disagree	Disagree	Neutral	Agree	Strongly Agree	100	Don't Know
2.	Provide an example of results indicated that © No response © My		lped assess your safet	y posture. [Identify ap	plicable survey(s); e	e.g., "PMV	/ - The survey
3.		rategy your unit implem	ented as a result of the	survey process. [Iden	tify applicable surve	ey(s); e.g.	, "PMV - I now
3.	List an intervention st have each officer O No response O My	."]	ented as a result of the	survey process. [Iden	tify applicable surve	ey(s); e.g.	, "PMV - I now
3.	have each officer	."]	ented as a result of the	survey process. [Iden	tify applicable surve	ey(s); e.g.	, "PMV - I now
3. 4.	have each officer C No response C My	."] y response is: vide any comments you natic "]					A V

In Summary

Summary

In General

- Response anonymity
- Organizational confidentiality
- Ease of implementation (online format)
- ✓ Worldwide access 24/7
- ✓ Surveys immediately available upon request
- Personalized service for survey setups/debriefs/questions
- Website provides opportunity to suggest interventions

Summary

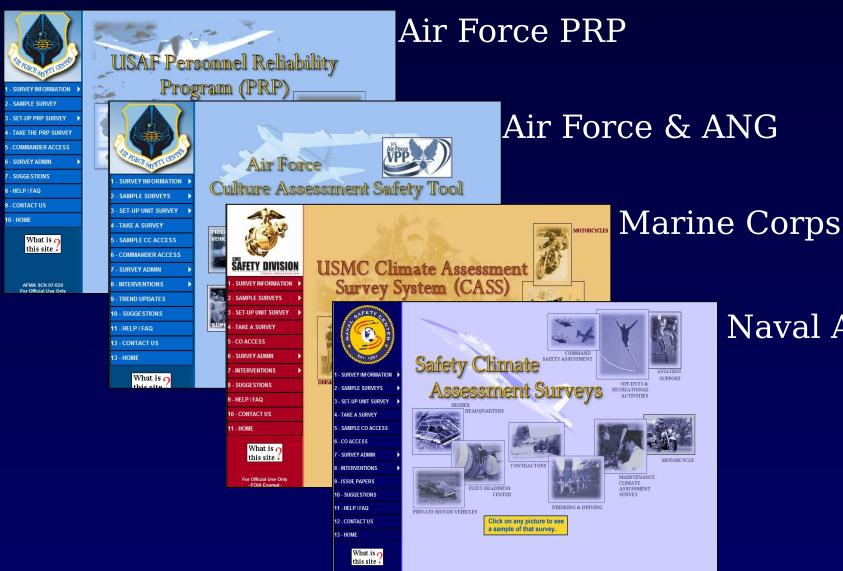
For the Commander

- ✓ Immediate feedback of results to commander
- Compare unit survey results with prior results
- Open-ended survey items provide unit-specific insights
- ✓ Filter fast survey responders
- ✓ Data sorting options allow prioritization of safety concerns
- ✓ Intervention suggestions provided for each survey item
- ✓ Higher Headquarters can view <u>aggregate</u> results.
- ✓ Data comparable to Culture Workshop results

Thank You!

Robert C. Figlock, Ph.D. "Bob"

Advanced Survey Design Monterey, CA



Air Force & ANG

Naval Aviatio

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Current Surveys

- Flight Crew
- Maintenance
- Depot-level Maintenance
- Higher Headquarters
- Support Personnel
- Contractor
- Ground Combat
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- ✓ Intervention suggestions provided for each survey item
- ✓ Higher Headquarters can view <u>aggregate</u> results.
- ✓ Data comparable to other NSC surveys